Tools for Citywide Inclusive Sanitation

An overview

Version 1: October 2023





Purpose of this deck

- Plenty of decision support tools (DSTs) exist in public domain, often leading to confusion among decision makers on which tool to use for assessment, planning or monitoring.
- This deck compiles all publicly available decision support tools that aid assessment and planning for safely managed sanitation.
- It provides an overview of the tools, with key information for each to guide readers to select relevant tools and includes links to the tool and supporting reading materials/case studies.
- Key inclusion considerations for tools in this review:
 - Initial list of tools screened from literature review (publication 1, publication 2)
 - Only tools for wastewater management are considered i.e. those for solid waste management or water supply are excluded.
 - $\circ~$ Tools in the form of an 'approach' are not included.

Classification of Tools



Shit Flow Diagram (SFD)

| Developed by: | Sustainable Sanitation Alliance (SuSanA) - | |
|------------------------|---|----------|
| . , | Consortium under SFD Promotion Initiative. | Of sa |
| Year of development: | 2014 | |
| Last updated: | 2022 | 0 |
| Tool format: | Online graphic generator and desktop version available. | sa |
| Waste streams covered: | Wastewater (Supernatant, Sewage and Faecal Sludge). | OI de |
| Value chain segment: | Containment, Collection and Conveyance, Treatment | |
| | Gov. stakeholders and development sector | |

Primary users:

Gov. stakeholders and development sector partners (technical assistance partners to Gov. including consultants, NGOs, Academics, etc.).

About the Tool:

A Shit Flow Diagram (SFD) serves as a practical tool for comprehending and conveying the physical flow of excreta within a city or town. It visually illustrates the journey of excreta, highlighting whether it remains contained or not, as it follows various routes from defecation to disposal or utilization.

An SFD is essentially a visualization tool that simplifies intricate data into an accessible diagram. It is usually accompanied by a description of the service delivery context and details regarding data sources within the relevant city.



Data Requirements:

Types of containment unit and where it is connected to; Population dependent on each category; % of OSS emptied; % FS, WW, SN reaching treatment plant; % FS, WW, SN treated.

Training Materials:

- 1. SFD Manual
- 2. SFD Report Template
- 3. <u>SFD Report Guidance Note</u>
- 4. Online Training

Case Studies: Click <u>here</u> to access SFDs worldwide.

Rapid Assessment Tool

| Developed by: | IRC WASH |
|------------------------|--|
| Year of development: | 2015 |
| Tool format: | Excel spreadsheet, available online for free |
| Waste streams covered: | Wastewater; (Faecal sludge; Blackwater; Greywater; Septage; Excreta) |
| Value chain segment: | Containment, Collection and Conveyance, Treatment and Disposal |
| Primary users: | WASH practitioners, policymakers, and donors. |

About the Tool :

A spreadsheet-based tool for calculating the quantitative flows of faecal sludge through various sections of an urban area. IRC tool enables a rapid assessment to gauge the current and potential supply and demand for sanitation in a specific area. It focuses on affordability, packaging, marketing, and accessibility to meet diverse sanitation needs.

The assessment combines consumer and market research, considering Price, Product, Promotion, and Place. Results are visualized using a 'traffic light' system: Green lights (75% or more balance) indicate no immediate concerns. Yellow lights (50% to 75% balance) highlight areas for attention after addressing red light issues. Red lights (less than 50% balance) require immediate attention and further analysis. Insights from this assessment inform recommendations to improve sanitation facilities and services.

Case Studies:

Sanitation market research in rural Cambodia : rapid assessment of supply and demand in three rural districts

Data Requirements:

Overall, the tool requires data on the following -

- 1. Who are the customers and the supply side actors?
- 2. Price: how much can they afford and how much does it cost?
- 3. Products: what is needed and what is made available?
- 4. Promotion: are products promoted
- 5. Place: where are the customers and the supply side actors?
- 6. Do they have the knowledge to decide or to advise?

Detailed information is required on aspects related to water supply (availability of drinking water, access to water for sanitation, water quality), sanitation demand (access to sanitation facilities, sanitation practices) and sanitation service provision (type of sanitation facilities available, level of service provision, cost of sanitation services). <u>The</u> <u>full questionnaire can be found here</u>.

Training Resources: Click here.

City Service Delivery Assessment (CSDA)

| World Bank |
|--|
| 2013 |
| Available in spreadsheet format and web-based format. |
| Wastewater (Blackwater and Greywater) – Sewered and Non-sewered Sanitation. |
| User Interface, Containment, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Consultants, facilitators or in-house specialists as a participatory tool for working with stakeholders in cities and towns. |
| |

About the Tool :

CSDA is a comprehensive tool that assesses the environment for inclusive sanitation, supports stakeholder engagement, and provides a roadmap for improving sanitation services in both sewered and non-sewered contexts. The tool supports the assessment of the enabling environment for City-wide Inclusive Sanitation using a series of structured questions.

City Sanitation Service Delivery Assessment

CSDA Full Assessment

| City name | Sanitow | n | | | | | |
|----------------------------|-------------------------|----------|-------------------------------|-----------------------------|-------------------------------|-------------------------|-------------------------------|
| Date | 30-Mar-2 | 2020 | | | | | |
| Sewered sanitation | | Non-sev | vered sa | anitati | on | | |
| | VC, house connection | Sewerage | Sewage treat- ment & reuse | | Toilet, pit or septic tank | Emptying & transport | Sludge treat- ment & reuse |
| Enabling | | | | Enabling | | | |
| Policy, legislation | | | | Policy, legislation | | | |
| Planning, budgeting | | | | Planning, budgeting | | | |
| Inclusion | | | | Inclusion | | | |
| Delivering | | | | Delivering | | | |
| Funding | | | | Funding | | | |
| Capacity, outreach | | | | Capacity, outreach | | | |
| Inclusion | | | | Inclusion | | | |
| Sustaining | | | | Sustaining | | | |
| Regulation, cost recover | ry 🗾 | | | Regulation, cost recovery | y 🗾 | | |
| Institutions, service prov | iders | | | Institutions, service provi | ders | | |
| Inclusion | | | | Inclusion | _ | | |

Data Requirements:

Data related to enabling environment including policy, legal and institutional environment, resources and mechanisms available to improve sanitation, operating environment, funding and personnel availability to provide ongoing and sustainable sanitation services.

The full questionnaire can be found <u>here</u>.

Training Resources: Click here.

Contact: Peter Hawkins and Isabel Blackett on ISP@incsanprac.com

SaniPath

| Developed by: | Center for Global Safe WASH at Emory University |
|------------------------|--|
| Year of development: | 2011 |
| Tool format: | Available online, can be accessed from <u>here</u> . |
| Waste streams covered: | Wastewater. |
| Value chain segment: | Containment, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | WASH practitioners from the academia, consultancy, local and national government, INGO/NGO, private sector and financial institutions. |
| | |

About the Tool :

The SaniPath Exposure Assessment Tool ("the Tool"), was developed by the Center for Global Safe WASH at Emory University to identify and compare risk of exposure to fecal contamination across multiple exposure pathways associated with inadequate sanitation and fecal sludge management.

The assessment combines consumer and market research, considering Price, The Tool provides guidance for standardized primary data collection, automates the exposure assessment analysis, and visualizes the results in a way that is accessible and understandable to people with a variety of backgrounds. It enables users to develop a robust evidence base for advocacy and decision making in the WASH sector.

The platform is integrated with KoBo Toolbox and data are collected via downloadable mobile forms and uploaded to a SaniPath server. An analytical dashboard automatically retrieves data from the server and performs the exposure analysis on a daily basis. From the dashboard, the user can view pie charts, histograms, and People Plots and automatically generate a draft final report

Data Requirements:

- Environmental samples are collected from each exposure pathway (surface water, municipal water, flood water, open drains, etc.). Ten samples are collected from each pathway in every neighborhood where the SaniPath Tool is deployed.
- Environmental samples are processed in a laboratory to determine the magnitude of fecal indicator bacteria (E. coli) in each sample.
- Behavioral data is collected via surveys at the community, school, and household levels. This data captures the frequency of exposure-related behaviors for both adults and children.

Training Resources: <u>Background information and procedures for conducting</u> the preliminary assessment; <u>behavioral survey procedures</u>; <u>environmental</u> <u>sampling procedures</u>.

Case Study: Ghana 1, Ghana 2, Lusaka, Dhaka.

Urban Sanitation Status Index (USSI)

| Developed by: | World Bank |
|------------------------|---|
| Year of development: | 2015 |
| Tool format: | Scorecard -based |
| Waste streams covered: | Faecal waste. |
| Value chain segment: | Containment, Collection and Conveyance, Treatment, Disposal. |
| Primary users: | Development sector partners (technical assistance partners to Gov. including consultants, NGOs, Academics, etc.). |

Data Requirements:

About the Tool :

The Urban Sanitation Status Index (USSI) is a tool developed by the World Bank to assess the overall status of urban sanitation in a given city or country. It is a composite index that takes into account a number of factors, including Access to improved sanitation facilities, Adequacy of sanitation facilities, Safety of sanitation facilities, Sustainability of sanitation services

The USSI is calculated on a scale of 0 to 100, with higher scores indicating better sanitation status.

Access to Infrastructure; type of on-site sanitation system; containment Safety; structural stability of the facility; groundwater level; soap and water availability nearby for handwashing; access to emptying services; amount of fecal waste transported to WWTP; quality of disposal management; access to water supply; water availability for flushing and cleaning and solid waste management; in-house greywater management.

Case Studies:

- 1. EAWAG ConCad Series
- 2. <u>Maputo, Mozambique</u>

Faecal Waste Flow Calculator

| Developed by: | IRC WASH |
|------------------------|---|
| Year of development: | 2015-2016 |
| Tool format: | Excel file format, can be accessed from here. |
| Waste streams covered: | Faecal sludge |
| Value chain segment: | Containment, Collection and Conveyance, Treatment and Disposal, Reuse |
| Primary users: | Engineers, planners and decision makers to get a better understanding of the current situation in a city. |

About the Tool :

The tool calculates volumes of faecal waste as well as faecal sludge volumes and assesses what needs to be in place for safe sanitation service provision. The volumes of faecal waste lost between each of the six links in the sanitation chain: capture, containment, emptying, transport, treatment, and safe use or disposal is the main focus of the tool since this will be the main aspect which affects public health and will therefore be of the greatest importance.

Data Requirements:

City-wide information on population size, number and types of toilets, information on pit emptying and transportation methods, on treatment plant type and capacity. The questions on capture and containment take residents as well as temporary residents into account.

Case Studies:

- 1. <u>Indonesia</u>
- 2. <u>India</u>

WASH Cost

Developed by: IRC WASH

| Year of development: | 2008 |
|-------------------------|---|
| Tool format: | Web-based tool, available online for free |
| Waste streams covered: | Water and Faecal waste |
| Value chain segment: | Containment, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | Consultants, facilitators or in-house specialists as a participatory tool for working with stakeholders in cities and towns |

About the Tool :

The WASH Cost aims to analyze the costs of water, sanitation, and hygiene (WASH) services in rural and peri-urban areas without utility coverage. It addresses the lack of data regarding these costs and emphasizes the importance of funding recurrent expenditures for sustainable service deliverv.

Case Studies:

WASH Cost Burkina Faso, WASH Cost Ghana, WASH Cost Mozambique and WASH Cost Andhra Pradesh (India).



Rural and peri-urban sanitation expenditure and service levels: Andrah Pradesh (India)

This Excel workbook contains the expenditure by state government (in the form of subsidies) and by households on sanitation, in both rural and peri-urban across 9 zones in Andhra Pradesh State, India. The sanitation service level achieved by households is also provided across these same areas.

The information compiled in the data sheets contains data compiled from over 5000 household surveys, Both cost and service level data is reported at the household level and contains a sample of 50 households from each village visited.

For more information or assistance on how to use this workbook, please contact the WASHCost Team at washcost@irc.nl.

| Contents | | | | Definition | | | | |
|-----------------------------------|--------------|---|--|--------------|---------------|--------------|-----------------|--|
| | | | | | | | | |
| Currency C | onversion | | Reference | Sheet for C | urrency Con | versions | | |
| Codebook costs | | List of codes and descriptions for the cost data worksheet | | | | | | |
| Rural sanitation expenditure | | | Data Input sheets and calculation for rural sanitation expenditure | | | | | |
| Peri-Urban sanitation expenditure | | Data Input sheets and calculation for peri-urban sanitation expenditure | | | ture | | | |
| Codebook | service leve | ls | List of code | es and descr | iptions for t | he service l | level worksheet | |
| Sanitation | Service Leve | els | Sanitation | Service Lev | el input she | et | | |
| | | | | | | | | |
| | | | | | | | | |

Data Requirements:

Data related to enabling environment including policy, legal and institutional environment, resources and mechanisms available to improve sanitation, operating environment, funding and personnel availability to provide ongoing and sustainable sanitation services.

Training Resources http://moodle.ircwash.org/

CLARA Planning tool

| Developed by: | BOKU University of Natural Resources and Life Sciences, Vienna | |
|------------------------|--|---|
| Year of development: | 2014 | 1 |
| Tool format: | Excel spreadsheet, available online for free | (|
| Waste streams covered: | Water and Wastewater | I |
| Value chain segment: | Collection and Conveyance, Treatment and Disposal | - |
| Primary users: | WASH practitioners, policymakers, and donors. | |

Data Requirements:

Data required related to investment, operation and maintenance, and re-investment costs of various water supply and sanitation systems. Using the cost data Net present values of all costs are calculated and used for comparison.

Training Resources:

- 1. About the tool.
- 2. Tool manual

About the Tool :

The CLARA Simplified Planning Tool (SPT) is a software tool that helps local planners find the best solution for water supply and sanitation interventions. The SPT is based on a number of assumptions, which allow the planner to use the tool with a limited amount of data available at the pre-planning phase of a project. It can be used to compare the costs of different water and sanitation systems without having to conduct detailed feasibility studies.

Case Studies:

- 1. Here the application of the SPT in Arba Minch, Ethiopia is shown: Ketema, A.A. (2015): Adapting and applying a simplified WASH planning tooll for cities in Ethiopia Chapter 6: Water supply and sanitation systems pre planning and CLARA Simplified Planning Tool application. PhD thesis, BOKU University Vienna, Austria, pp.102-130.
- 2. Shows cost functions for Ethiopia developed from real costs: Ketema, A.A., Lechner, M., Tilahun, S.A., Langergraber, G. (2015): Cost function development for Life Cycle Cost based water supply and sanitation system planning, Case study of Bahir Dar & Arba Minch, Ethiopia. Journal of Water, Sanitation and Hygiene for Development 5(3), 502-511.
- 3. Compares cost functions with results from the SPT: Ketema, A.A., Langergraber, G. (2016): Statistical validation of the CLARA Simplified Planning Tool. Water Sci Technol: Water Supply 16(1), 193-201.

CWIS Costing and Planning Tool

| World Bank |
|--|
| 2018 |
| Online tool can be accessed from <u>here</u> |
| Wastewater |
| User Interface, Containment, Collection and Conveyance, Treatment and Disposal, Reuse |
| Consultants and Researchers supporting government in decision making |
| |

About the Tool :

CWIS principles challenge decision makers to prioritize sanitation investments that will provide access to safely managed sanitation services for all inhabitants of a city. After assessing existing sanitation service coverage, as part of the process of comparing different potential sanitation solutions, it is important to consider the comparative financial capital and operational costs of different options. This tool aims to help perform that analysis and compare different technical solutions based on their comparative costs.



Data Requirements:

of HHs, description of sanitation system, investment cost (capital and operational) for a particular sanitation component/technology disaggregated by main parts and life span.

Training Resources: Click here.

SaniPlan

| Developed by: | CEPT University |
|------------------------|---|
| Year of development: | 2016 |
| Tool format: | Excel spreadsheet, available online for free |
| Waste streams covered: | Faecal sludge |
| Value chain segment: | User Interface, Containment, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | Gov. stakeholders and development sector partners (technical assistance partners to Gov. including consultants, NGOs, Academics, etc.). |

Performance Assessment System PAS Project

SANIPLAN

A Performance Improvement Planning Model

About the Tool :

SANIPLAN is a decision support tool that provides a structured approach in planning for urban sanitation. It focuses on integrated service performance with a detailed assessment of finances. It is a planning tool which can support more informed stakeholder participation. Based on local priorities users can identify key actions for service improvement and prepare a Financing Plan that ensures funding for both capital and operating expenditure.

Case Studies: Sinnar, Wai.

Data Requirements:

City-wide information on population size, number and types of toilets, information on pit emptying and transportation methods, on treatment plant type and capacity. The questions on capture and containment take residents as well as temporary residents into account.

Training Resources:

- 1. <u>Guidance Note</u>
- 2. Approach Paper
- 3. <u>Step by Step Guide</u>



Better information for better urban water and sanitation

CEPT University, Ahmedabad



SANIPLAN has been developed by PAS Project at CEPT University. Initial inputs were provided by CRISIL Infrastructure Advisory.

Santiago

| Developed by: | Spuhler and others |
|------------------------|---|
| Year of development: | 2020 |
| Tool format: | Excel spreadsheet, software (SANitation sysTem Alternative GeneratOr),available online for free |
| Waste streams covered: | Wastewater |
| Value chain segment: | User interface, collection and conveyance, treatment, disposal and reuse |
| Primary users: | Development sector partners (technical assistance partners to Gov. including consultants, NGOs, Academics, etc.). |

About the Tool :

A tool with a systematic procedure for generating a manageable set of sanitation system options and quantifying resource recovery potential as input to structured decision-making processes.

Data Requirements:

Water supply (which water supply options are available), Energy supply (is energy supply available in the application case), Frequency of operation and maintenance (O&M), What is the min., mean, max. average monthly temperatures? What is the flooding risk for the area? How accessible are the plots? To what extent are the skill levels locally available? Are there drinking water sources closer than 30 m from point of infiltration? Etc.

- 1. Detailed research
- 2. Publication

Citywide & Regional Planning Tool, FSM Toolbox

| Developed by: | FSM Alliance |
|------------------------|---|
| Year of development: | 2019 |
| Tool format: | Web-based tool, available online for free. Click here. |
| Waste streams covered: | Faecal sludge |
| Value chain segment: | User interface, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | City and regional planners, sanitation engineers, and other professionals involved in the planning and implementation of FSM systems. |

About the Tool:

The Toolbox by FSMA is a web platform with a suite of tools and resources designed to assist and guide anyone interested in undertaking assessments and planning infrastructure improvements.

Within the FSM Toolbox platform, the infrastructure planning toolkit assists users estimate infrastructure gaps and identify responses for improvement. There are two components under this product – Citywide Planning (which helps you plan infrastructural improvements for your city) and Regional Planning (helps you plan for a region or a cluster of cities).

Training materials:

- 1. <u>Citywide Planning Tool</u>
- 2. <u>Regional Planning Tool</u>



Data Requirement: City-wide information on population size, number and types of toilets, information on pit emptying and transportation methods, on treatment plant type and capacity. The questionnaire is split across households, community toilets, public toilet, commercial and institutional buildings, treatment plant and desludging operators.

For regional planning, the tool requires data on GPS location of city, distance desludging operators are willing to travel, volume of faecal sludge generated, availability of sewage treatment plant/faecal sludge treatment plant and available capacity, utilization capacity of treatment plant, etc.

Detailed questionnaire is available <u>here</u>.

Business Model Tool, FSM Toolbox

| Developed by: | FSM Alliance – IWMI and Athena Infonomics |
|------------------------|--|
| Year of development: | 2019 |
| Tool format: | Web-based tool, available online for free. Click <u>here</u> . |
| Waste streams covered: | Faecal sludge |
| Value chain segment: | User interface, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | Private entrepreneurs, City and regional planners, sanitation engineers, and other professionals involved in the planning and implementation of FSM systems. |

About the Tool:

The Toolbox by FSMA is a web platform with a suite of tools and resources designed to assist and guide anyone interested in undertaking assessments and planning infrastructure improvements.

Within the FSM Toolbox platform, the business model tool presents case studies on a range of business models implemented in different parts of the world across the FSM value chain, referenced from IWMI's Resource Recovery and Reuse Series 6 document. It also goes on to assist users evaluate the relevance of these different models to their context.

Training materials: Click here.



Data Requirement: Regulatory and institutional structures which forms the enabling environment for sustaining a business model. To check the feasibility of a business model, the user needs to enter simple Yes or No answers for the existing regulatory and institutional structures.

Detailed questionnaire is available here.

EquiServe & Rapid Equity Analysis Model

| Developed by: | Athena Infonomics |
|--|--|
| Year of development: | 2019 |
| Tool format: | Web-based tool, available online for free. Click <u>here</u> . A Rapid version of the tool (Rapid Equity Analysis) is available in an excel file format. Click <u>here</u> . |
| Waste streams covered: | Wastewater |
| | |
| Value chain segment: | User interface, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Value chain segment: Primary users: | User interface, Collection and Conveyance, Treatment, Disposal and Reuse. Public Service Authority/Regulator |



About the lool:

EquiServe is a powerful analytical engine that can provide a range of insights to guide public sector leaders and development actors to explore a range of levers to advance safe access and do more with less & reach the poor.

Equiserve is a scenario planning tool designed to facilitate evidence-based coordinated action on sanitation service improvements. It helps assess the service system, test different service improvements and visualize tradeoffs

Training materials:

- Tool user guide
- Data manual
- Session 1, Session 2, Session 3 З.
- **Rapid Equity Analysis Visual**

Data Requirement: City Sanitation Coverage; Service Delivery Mapping; Hardware Details; Tariff details; Capital and Operating Costs; Funding source; etc.

Detailed questionnaire is available here.

Case Studies: Lusaka, Zambia; Kampala, Uganda; Zambia; Tema, Ghana: Haiti

WASHBAT

| Developed by: | UNICEF |
|------------------------|---|
| Year of development: | 2012 (first version released) |
| Tool format: | Online tool, can be accessed from <u>here</u> . |
| Waste streams covered: | Water and Wastewater |
| Value chain segment: | User interface, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | Consultants, researchers and decision makers. |

| ASHBAT | 2.0 | | | | |
|------------|--|----------------------|----------------|-------------------------------|------------------|
| WASH A | 🛪 > WASH Analysis | | | | |
| Administ > | WASH Analysis + Search | h | ×Q | | |
| | Analysis Name 🗢 | Scope 🗢 | Jurisdiction 🖨 | Area 🗢 | Year of analysis |
| | Hygiene, Peri-urban, Angola - North East Region, 2016 | Hygiene | Peri-urban | Angola - North East Region | 2016 |
| | Water Supply, Rural, Angola, 2016 | Water Supply | Rural | Angola | 2016 |
| | Water Supply, Rural, Algeria, 2016 | Water Supply | Rural | Algeria | 2016 |
| | Water Supply, Rural, Algeria, 2016 | Water Supply | Rural | Algeria | 2016 |
| | « « <mark>1</mark> 2 3 4 5 » » | Showing 1 to 10 of 5 | 55 records | | |

About the Tool:

The WASH Bottleneck Analysis Tool (WASH BAT) is designed to support the drinking water, sanitation and hygiene (WASH) sector, by enabling the formulation of costed and prioritized plans to remove the bottlenecks that hinder progress.

Analyzes the complex interplay of institutional structures and processes that determine how effectively human, material and financial inputs are turned into sustainable access to drinking-water supply and sanitation. Provides a rational evidence-based approach for formulating an investment strategy for multiple sector aims of efficiency, equity and sustainability.

Case Studies: Bangladesh, Ecuador, Pakistan.

Data Requirement: Select sub-sector (water, sanitation, hygiene and WASH in Institutions), add participants to the group, select building blocks (policy, institutional arrangements, financing, planning, monitoring and reviewing, capacity development, etc.), conduct bottleneck analysis (select criteria, identify bottleneck and its cause, manage activity for bottleneck removal), for the activity identify reason, timing, priority, funding and allocation of funds, assigning responsibility.

Reference Materials: <u>User Guide</u>, <u>Brochure</u>, <u>Tutorial</u>, <u>Summary</u> <u>Guide</u>, <u>Country Implementation Guide</u>.

Training Materials: Click here.

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Sustainable Sanitation Management Tool

| About the tool: | The SSWM Toolbox is the most extensive collection of knowledge around sustainable sanitation and water management. It compiles thousands of "best of" instruments – be that technologies, methodologies, behavioural change approaches or planning tools – geared to optimise sanitation and water management intervention at local level all curated in an easy-to-understand yet comprehensive way. |
|------------------------|---|
| Developed by: | Seecon in collaboration with partner organisations |
| Year of development: | 2018 |
| Tool format: | Web-based tool platform available for free |
| Waste streams covered: | Water and Wastewater |
| Value chain segment: | User interface, collection and conveyance, treatment, disposal and reuse |
| Primary users: | Sanitation practitioners, policymakers, and donors |
| Data requirements: | Not applicable |

Toilet Resource Calculator

| About the tool: | This tool is designed for cities, governments, plantations and factories to assess the benefits of implementing circular sanitation by calculating the potential amount of fuel, fertilizer, feed or water produced from the Toilet Resources of a community. After the initial calculation, select a specific product to be connected to entrepreneurs producing the product. |
|------------------------|--|
| Developed by: | Toilet Board Coalition |
| Year of development: | 2019 |
| Tool format: | Web-based tool, available online for free. Click <u>here</u> . |
| Waste streams covered: | Blackwater (Excreta) |
| Value chain segment: | Reuse |
| Primary users: | WASH practitioners |
| Data requirements: | Amount of people living within a community/city, the time period one would like to calculate for, and user behaviour – community uses toilet paper (Wipe) or water (Wash). |

ECAM

| Developed by: | Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ), International Water Association and The Catalan Institute for Water Research (ICRA) |
|------------------------------|---|
| Year of development | 2017 |
| Tool format: | Online tool can be accessed from here |
| Waste streams covered: | Wastewater (Sewage sludge; Faecal sludge; Urine; Faeces) |
| Value chain segment: | Collection and Conveyance, Treatment and Disposal |
| Primary users: | ECAM is meant for water and wastewater utility managers, technicians, consultants, climate change experts, academics and policy makers working on issues regarding water, energy and climate change. |
| | |

About the Tool :

Energy Performance and Carbon Emissions Assessment and Monitoring (ECAM) Tool assesses greenhouse gas emissions and energy consumption or intensity of the urban water cycle that are within the operational boundaries of water and wastewater companies to highlight emission reduction opportunities and prepare these utilities for future reporting needs on climate mitigation. More details can be found <u>here</u>.

Case Studies: Click here.

| | Assessment period | GHG (kgCO ₂ eq) | Energy (kWh | n) Substages | | Options |
|--|--|----------------------------|---|--------------|----------------------------|---|
| Jntitled assessment | 2023-10-09 2024-10-09 (366 days) | 0 | | 0 | settings | duplicate |
| change assessment name | | | | | | |
| Assessment period | | | | | | |
| rom: 09-10-2023 📋 To: 09-10-2 | 2024 🗖 366 days | | | | | |
| Country | | | | | | |
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| electselect Currency (3 letters code) Emission factor for grid electricity | ✓ More info | | more info | UR | 0 | Currency kg _{CO2} /kWh |
| Selectselect Currency (3 letters code) Emission factor for grid electricity Annual protein consumption per capita | ✓ More info | | more info | UR | 0 | Currency kg _{CO2} /kWh kg/person/ye |
| Selectselect Currency (3 letters code) Emission factor for grid electricity Annual protein consumption per capita BOD ₅ generation (wastewater) | ✓ More info | | more info more info | UR | 0 | Currency kg _{CO2} /kWh kg/person/ye g/person/day |
| Select | More info | | more info more info more info | UR | 0 0 1.25 | Currency kg _{CO2} /kWh kg/person/ye g/person/day kgN/kgN |
| Select select Currency (3 letters code) Emission factor for grid electricity Annual protein consumption per capita BOD ₅ generation (wastewater) Industrial and commercial co-discharge Non consumed protein added to the waster | More info | | more info more info more info more info more info | UR | 0 0 0 1.25 1.1 | Currency kg _{CO2} /kWh kg/person/ye g/person/day kgN/kgN |

Data Requirements:

Fuel type, Pump type, Pump size, EFCH4 for type of water body/sewer/treatment, type of onsite treatment, N2O EF plants, WW treatment organics removal fractions (centralised and onsite), sludge characteristics in each stage of the treatment process, type of sludge disposed, type of faecal sludge, type of landfill, soil type, type of containment.

- 1. <u>Methodology guide</u>
- 2. User Manual

Sanitech

| Developed by: | <u>CSTEP</u> |
|------------------------|---|
| Year of development: | 2015 |
| Tool format: | Web-based tool, available online for free |
| Waste streams covered: | Faecal Sludge |
| Value chain segment: | User Interface, Containment, Collection and Conveyance, Treatment and Disposal. |
| Primary users: | Gov. stakeholders and development sector partners (technical assistance partners to Gov. including consultants, NGOs, Academics, etc.). |

About the Tool :

It is a decision support tool that will help cities in India to provide costeffective and sustainable sanitation options for all, especially the urban poor, through an integrated framework for assessment of different sanitation options. The tool is envisioned to provide stakeholders information and knowledge of existing and new technologies in a manner that allows them to compare options, assess cost/benefits and make informed decisions.

Data Requirements:

Capital and Operational Expenditure, Land requirement, Groundwater level constraint, Soil type suitable for each sanitation technological option.

- . <u>Compendium of technology options</u>
- 2. <u>SaniTech Manual</u>

Biogas Wastewater Assessment Technology Tool (BioWATT)

| Developed by: | Global Methane Initiative (GMI) and World Bank |
|------------------------|--|
| Year of development: | 2016 |
| Tool format: | Excel spreadsheet, available online for free |
| Waste streams covered: | Wastewater |
| Value chain segment: | Treatment |
| Primary users: | Consultants and Researchers supporting government in decision making |

About the Tool :

The Biogas Wastewater Assessment Technology Tool (BioWATT) provides a quick and preliminary assessment of wastewater-to-energy projects. Through BioWATT, users can receive a specific summary of their biogas production estimates for various wastewater-to-energy technologies, electricity generation potential from the produced biogas, greenhouse gas savings associated with biogas-generated electricity, and more.

Data Requirements:

Hydraulic load and inflow BOD concentration of WW entering treatment plant, Local GHG emissions for electricity generation, availability of UASB/anaerobic pond for collecting biogas, electricity tariff, sludge disposal unit cost, labor cost, etc.

- 1. User Manual
- 2. User Guide
- 3. <u>Biogas Wastewater Assessment Technology Tool (BioWATT) –</u> <u>Presentation</u>

EaseTech

| Developed by: | Technical University of Denmark |
|------------------------|---|
| Year of development: | 2021 |
| Tool format: | Web-based tool, available via licensing |
| Waste streams covered: | Wastewater |
| | |
| Value chain segment: | Treatment |

About the Tool :

The primary aim of EASETECH is to perform life cycle assessment (LCA) of complex systems handling heterogeneous material flows. EASETECH models resource use and recovery as well as environmental emissions associated with environmental management in a life-cycle context.

http://www.easetech.dk/model-description

Case Studies: Click here.

Data Requirements:

Energy usage, FeCl2 use, NaOH use, N/Zn/Cu/Hg discharge to sea, sludge water content, N2O emission to air, methane leakage, heat substitution, EBP2R/PhBR energy use, N/P fertilizer substitution, etc.,

Training Resources: Click here.

EVAS

EVAS – sustainability assessment for small-scale wastewater treatment systems

| Developed by: | World Bank |
|-------------------------|---|
| Year of development: | 2018 |
| Tool format: | Excel based tool can be accessed from here |
| Waste streams covered: | Wastewater |
| Value chain segment: | Treatment |
| Primary users: | WASH practitioners/decision makers working towards the selection of technology, developing engineering DPRs |
| | |

About the Tool :

A spreadsheet-based tool for assessing the sustainability of small wastewater treatment systems. The EVAS tool can provide managers of small WWTSs in low and lower-middle income countries with the means to identify weaknesses in the management of their systems, and to better understand the needs of the WWTS in their endeavour to achieve improved performance and sustainability. More details can be found <u>here</u>.

Case Studies:

- 1. <u>LMICs</u>
- 2. <u>Cochabamba, Bolivia</u>
- 3. <u>Bolivia</u>
- 4. <u>LMICs 2</u>



Data Requirements:

Technical dimension (removal efficiency, operation and maintenance, sewer network functionality, reliability of the WWTP), Environmental dimension (potential safe reuse, eutrophication potential, effluent quality, energy, global warming potential), Social dimension (public health risk, public awareness, aesthetics, public acceptance), Economic dimension (tariffs, operation and maintenance costs, affordability), Institutional dimension (institutional capacity, staff requirements, employee satisfaction, communication).

Feasible

| About the tool: | A software tool developed to support the preparation of environmental financing strategies for water, wastewater and municipal solid waste services. |
|------------------------|--|
| Developed by: | Ministry of the Environment, Denmark & OECD |
| Year of development: | 2004 |
| Tool format: | Data not available |
| Waste streams covered: | Water, Wastewater and Solid Waste Management |
| Value chain segment: | Data not available |
| Primary users: | Data not available |
| Data requirements: | Data not available |

FitWater

| About the tool: | A tool developed to support fit-for-purpose wastewater treatment trains by assessing alternative WWT trains and water reuse applications. Further details can be found here (<u>resource 1</u> , <u>resource 2</u>) |
|------------------------|---|
| Developed by: | University of British Columbia |
| Year of development: | 2017 |
| Tool format: | Data not available |
| Waste streams covered: | Wastewater |
| Value chain segment: | Treatment |
| Primary users: | WASH practitioners/decision makers working towards the selection of technology, developing engineering DPRs. |
| Data requirements: | Amount of reclaimed water production, health risk of water reuse, cost, energy use, and carbon emissions. |

Poseidon

| Developed by: | Oertlé, Emmanuel; Hugi, Christoph; Wintgens, Thomas; Karavitis, Christos A., with funding from EU |
|------------------------|--|
| Year of development: | 2018 |
| Tool format: | Excel-based tool can be accessed from here |
| Waste streams covered: | Wastewater |
| Value chain segment: | Treatment |
| Primary users: | WASH practitioners/decision makers working towards the selection of technology, developing engineering DPRs. |

About the Tool :

Poseidon is a user-oriented, simple and fast Excel-Tool which aims to compare different wastewater treatment techniques based on their pollutant removal efficiencies, their costs and additional assessment criteria. Poseidon can be applied for pre-feasibility studies in order to assess possible water reuse options and can show decision makers and other stakeholders that implementable solutions are available to comply with local requirements.

Data Requirements:

Data not available

Training Resources: Click here.

Case Studies:

- 1. <u>Resource 1</u>
- 2. <u>Resource 2</u>
- 3. <u>Resource 3</u>

Sampsons

| About the tool: | A tool used to visualize resource fluxes (e. g. N, P) of new and alternative sanitation systems for a simple sustainability assessment in pre-planning stages. More details can be accessed from <u>here</u> . |
|------------------------|--|
| Developed by: | IFAK |
| Year of development: | 2018 |
| Tool format: | Desktop software can be accessed from here |
| Waste streams covered: | Wastewater |
| Value chain segment: | Treatment |
| Primary users: | WASH practitioners/decision makers working towards the selection of technology, developing engineering DPRs |
| Data requirements: | Data not available |

Simba

| About the tool: | A <u>software</u> for modelling and dynamic simulation of wastewater treatment plants and sewer networks as well as water-energy-food nexus dynamics for cities or specified geographical areas. |
|------------------------|--|
| Developed by: | inCTRL |
| Year of development: | Data not available |
| Tool format: | Subscription based model; Data not available |
| Waste streams covered: | Wastewater |
| Value chain segment: | Conveyance (Sewer) and Treatment |
| Primary users: | WASH practitioners/decision makers working towards the selection of technology, developing engineering DPRs. |
| Data requirements: | Data not available |

TechSelect

| About the tool: | A tool for selecting wastewater treatment technologies in scenario-based multi attribute decision-making processes. |
|------------------------|---|
| Developed by: | IIT Bombay |
| Year of development: | 2015 |
| Tool format: | Data not available |
| Waste streams covered: | Wastewater |
| Value chain segment: | Treatment |
| Primary users: | WASH practitioners/decision makers working towards the selection of technology, developing engineering DPRs |
| Data requirements: | Data not available |

West+

| About the tool: | WEST <u>software</u> is a sophisticated simulation tool used by operators and engineers to optimise plant design, operations and automation by targeting effluent quality, energy and cost. |
|------------------------|---|
| Developed by: | DHI |
| Year of development: | Data not available |
| Tool format: | Data not available |
| Waste streams covered: | Wastewater |
| Value chain segment: | Treatment |
| Primary users: | Wastewater treatment plant operators, Wastewater engineers designing treatment plants and Researchers |
| Data requirements: | Data not available |

Cactus

| Developed by: | University of Leeds | | | |
|------------------------|--|---|--------------|--|
| Year of development: | 2017 | | Primary | City managers in at least two partner cities, prepare prefeasibility assessments for urban sanitation investment that |
| Tool format: | Excel Workbook format, available online for free. Click <u>here</u> . | C | Outcome (PO) | compare the total economic performance of future sewered and non-sewered options under plausible future climate scenarios. |
| Waste streams covered: | Wastewater | | Intermediate | Technical staff and planners in two partner cities use the tools created by the project to estimate water use, energy use and |
| Value chain segment: | Containment, Collection and Conveyance, Treatment, Disposal and Reuse. | A | Outcome (IO) | GHG emissions of existing and potential future sewered and onsite sanitation systems. |
| Primary users: | Researchers, Consultants and Development partners supporting Gov. stakeholders in decision making, Donors. | | Output (O) | Standard metrics for estimating water use, energy use and GHG gas emissions for typical components of urban sanitation systems in a range of contexts. |

About the Tool:

CACTUS aims to improve the quality of information available to decision makers who seek to make investment decisions relating to urban sanitation provision in cities and towns.

CACTUS works on the principle that there is never a single 'best' solution to the question of how to provide effective, equitable and sustained sanitation – the decision will be driven by a variable number of factors, and that different cities and towns and indeed different stakeholders in a given city or town, would place different levels of emphasis on different factors.

Reference materials: Click here

Data Requirement: Cost estimates for a range of sanitation service delivery options

Training Resources: http://cactuscosting.com

CWIS Measurement Tool

| Developed by: | Athena Infonomics and BMGF | | 1 | CWIS OUTCOMES | • | | 0 | |
|------------------------------|--|---|------|--|---|----------------|----------------|---------------------------------------|
| Year of development: | 2020 | CWIS SERVICE | (82) | | | EQUITY | SAFETY | SUSTAINABILITY |
| Tool format: | Online dashboard with indicators, can be accessed from <u>here</u> . | FRAMEWORK | | CWIS FUNCTIONS National/State Level | • | | | (&\$) |
| Waste streams covered: | Wastewater | About the Tee | | L• City Level | | RESPONSIBILITY | ACCOUNTABILITY | RESOURCE PLANING AND MANAGEMENT |
| Value chain segment: | User interface, Collection and Conveyance, Treatment, Disposal and Reuse. | Citywide Inclusive Sanitation (CWIS) is a public service approach to advance Equitable, Safe, a Sustainable outcomes, by strengthening core public system functions of Responsibil Accountability, and Resource Planning and Management. The CWIS dashboard is designed to help the CWIS city grantees monitor and track their program from their baselines and quickly see how their peers are performing on similar metrics. | | table, Safe, and Responsibility, | | | | |
| Primary users: | Consultants, researchers | | | k their progress | | | | |

Data Requirement:

Indicators helping track fairness in service delivery in terms of service guality, prices, and deployment of public finance/subsidies. Indicators capturing how the waste generated is being safely managed, and assess whether the services safeguard customers, workers, and communities from safety and health risks by reaching everyone with safe sanitation. Indicators capturing how services are reliably and continually delivered based on effective management of human, financial and natural resources. Indicators helping capture the enabling environment looking at how responsibilities are structured, who is responsible for delivering services, who are they accountable to? how are resources planned and allocated?

Resource materials: Click here to access CWIS Indicators' Methodology Note; Click here to access CWIS Measurement Note: Click here to access CWIS Measurement Note.

and decision makers.

Case Study: Wai, Warangal, Narsapur, Trichy, Khulna, Kampala, Lusaka, Dakar.

MUSE

| Developed by: | Emory University |
|------------------------|---|
| Year of development: | 2019 |
| Tool format: | Excel based tool, with indicators, which can be accessed from <u>here</u> . |
| Waste streams covered: | Wastewater |
| Value chain segment: | User interface, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | Consultants, researchers and decision makers. |

About the Tool:

MUSE sanitation tool is a set of scales and indices that measure women's empowerment in the context of urban sanitation, across four domains: Access and decision-making, safety and dignity, knowledge and agency, and voice and collective action.

The Goal of the MUSE tool is to create and validate survey tools to measure women's sanitation-related empowerment in urban areas, for (a) program design; and (b) monitoring and evaluation.

Resource materials: Table of definitions; A systematic review of women's empowerment in sanitation; Development and validation of measurement framework; Protocol for development and validation of instruments for MUSE

WOMEN'S AND GIRLS' WATER- AND SANITATION-RELATED EMPOWERMENT



Data Requirement: Access to sanitation infrastructure, Sanitation related knowledge, attitude and practices. Further, the tool also requires data related to -

- Participation in decision-making about sanitation
- Safety and security when using sanitation facilities
- Freedom from stigma and discrimination related to sanitation

Sanitation Decision Support Tool (Akvopedia)

| Developed by: | AKVO |
|------------------------|--|
| Year of development: | 2007 |
| Tool format: | Web-based tool platform, available online for free |
| Waste streams covered: | Water and Sanitation |
| Value chain segment: | User Interface, Containment, Collection and Conveyance, Treatment, Disposal and Reuse. |
| Primary users: | WASH practitioners, policymakers, and donors. |

About the Tool :

The Sanitation Decision Support Tool (Akvopedia) is a web-based tool that helps users to assess the sanitation needs of a community and to develop appropriate interventions. It is developed and maintained by Akvo, a non-profit organization that provides open-source tools and resources for water and sanitation practitioners.

The tool covers a wide range of sanitation topics, including:

- Sanitation needs assessment: The tool helps users to collect and analyze data on the sanitation situation in a community, including the types of sanitation facilities used, the level of access to sanitation, and the associated health risks.
- Sanitation intervention planning: The tool helps users to develop and evaluate different sanitation interventions, taking into account factors such as cost, technical feasibility, and social acceptability.
- Sanitation monitoring and evaluation: The tool helps users to track progress towards achieving sanitation goals and to identify areas where interventions need to be adjusted.

Data Requirements:

The web portal has serval supporting tools covering broad topics like, Water, Rainwater Harvesting, Sanitation, Finance, Sustainability Decision & other Assessment Tools.

Some of the tools related to sanitation include the WASH in Schools Assessment tool, SSWM Toolbox, The WASH Performance Index Report, etc.

The tools can be accessed from <u>website</u>.



Thank you

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